



PATENT
0505-4012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher KEITH Serial No.: 09/802,169
Filed: March 8, 2001 Confirmation No.: 1150
Art Unit: 2165 Examiner: Clement B. Graham
Title: AUTOMATED ORDER BOOK WITH CROWD PRICE IMPROVEMENT

CERTIFICATE OF MAILING

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Date: April 25, 2005

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RESPONSE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Final Office Action mailed February 24, 2005, the two-month date being Sunday, April 24, 2005, applicant submits the following remarks.

REMARKS

Claims 1-22 and 24-30 are pending.

Response to Information Disclosure Statement

Applicant renews his request for a copy of initialed page 2 of the Form PTO 1449 that was submitted on September 14, 2001. As previously explained, through an apparent administrative error, this has not been received.

Response to Office Action

In paragraph 3 of the Office Action, claims 1-30 [sic] were rejected under 35 USC 102(b) as being anticipated by U.S. Patent No. 5,297,032 (Trojan).

The pending independent claims are as follows:

1. A method of facilitating trading, comprising:
automatically, via a computer, providing a price inquiry to a market process having an order file, the market process also having a crowd of trading processes registered therewith, and
automatically, via the computer, requesting that the market process notify its crowd of a price improvement opportunity.
4. A method of providing a crowd price, comprising: